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# Improving luminous flux and color homogeneity of dual-layer phosphor sctructure

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#### Abstract

In order to clarify the main purpose of the study, we put a green phosphor layer SrBaSiO4:Eu2+ on the yellow phosphorus layer YAG:Ce3+ through using only one WLEDs structure in different color temperatures like 5600 K, 6600 K, 7700 K. Then, we find the suitable SrBaSiO4:Eu<sup>2+</sup> concentration in order that the luminous flux could get the highest value. The results show that SrBaSiO4:Eu<sup>2+</sup> brings great benefits to increase not only optical gain but also color uniformity. Specifically, the greater the SrBaSiO4:Eu<sup>2+</sup> concentration, the greater the output of WLEDs because of the development of green light component in WLEDs. However, only if the SrBaSiO4:Eu<sup>2+</sup> concentration exceeds the level, a slight decrease in color rendering index (CRI) can occur, which based on Monte Carlo simulation. In addition, the results of this paper have contributed significantly to the creation of higher-powered WLEDs.

Keywords: color uniformity, dual-layer phosphor, luminous efficacy, Mie-scattering theory, SrBaSiO4:Eu<sup>2+</sup>

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## 1. Introduction

In recent times, LEDs has been considered as a promising product in the future owing to its superior properties for lighting technology such as high illumination, high energy efficiency, and long lifetimes [1-5]. Therefore, MCW-LEDs is extensively applied in a variety of general-purpose illumination applications, which completely replaced all of the traditional light sources [6]. Generally, the luminous output and the correlated color temperature uniformity are regarded as the most important factors that determine the quality of LED performance. With suitable concentration of SiO<sub>2</sub> particles added into phosphor layer, angular CCT distribution can be optimized without considerable affecting luminous output [7-12]. In addition, the lumen output of MCW-LEDs increases significantly with the Ce<sub>0.67</sub> Tb<sub>0.33</sub> MgAl<sub>11</sub>O<sub>19</sub>:Ce, Tb concentration added to MCW-LEDs phosphor [13]. With the aim of improving the CRI of MCW-LED greater than 90, several former approaches have been introduced to reimburse the red-light of MCW-LEDs such as combining red-phosphors with phosphor layer or injecting red LEDs [14-20]. Won group combined blue LEDs, green (Ba,Sr) 2SiO4:Eu2+ and red CaAlSiN<sub>3</sub>:Eu<sup>2+</sup> phosphors with various packages to propose great CRI value MCW LEDs [21]. Chen group have executed experiments of adding the missing red part in the phosphor converted white light-emitting diodes (pc-WLEDs) [22]. Nevertheless, these researches solely examine individual pc-WLED structures. Furthermore, the researches simply concentrate on single-chip white LED lamps having CCT varying from 2500 K to 7500 K, but the truly improvement of color rendering ability of MCW-LED lamps having higher CCTs has not been deeply researched [23-26].

Eu<sup>2+</sup>-activated strontium–barium silicate (SrBaSiO<sub>4</sub>:Eu<sup>2+</sup>) phosphor has been applied extensively in lighting technology to contribute red light [27]. Due to the great properties as well as excellent luminescence efficiency, color purity and stability, green phosphor SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> have met all the requirements for a favorable red-emitting phosphor. However, SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> has not been widely applied to enhance color homogeneity and luminous flux yet.

In this paper, we review the influence of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> particles in luminous flux and color homogeneity of MCW LEDs in conformal phosphor packages. The pc-WLEDs green-light emitting can be controlled by the presence of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphor particles. As a result,

the LED light distribution can be adjusted by LED packages to higher luminous flux region. Our study progress is divided into three main steps. First, the precise MCW-LED physical model with average CCTs approximately 5600 K, 6600 K and 7700 K are built by LightTools 8.1.0 program. Then, SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> particles are mixed into the phosphor layers. These particles interact with transmitted light result. Lastly, we examine the impacts of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphor concentration on MCW-LEDs. The simulation results demonstrated that the proposed approach can increase the luminous flux and color homonegeity substantially.

## 2. Research Method

### 2.1. Preparation of green SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> Phosphor

SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> particles, with an emission peak of 2.36 eV and many outstanding characteristics such as high quantum efficiency and stability at high temperature, are known as a type of yellow-green phosphor and become more and more popular. The elements which can directly influence on the luminescence properties of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphor are their particle sizes and concentration. Its composition includes SrCO<sub>3</sub>, BaCO<sub>3</sub>, SiO<sub>2</sub>, Eu<sub>2</sub>O<sub>3</sub>, NH<sub>4</sub>Cl, and Eu<sup>2+</sup> ion, all of which are used as raw materials as shown in Table 1. Moreover, SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> is applied particularly for very high-loading and long life-time fluorescent lamps. Therefore, it is one of the most popular commercialized oxide phosphors. On the whole, the fabrication process of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> is described specifically as the following:

Ingredient	Mole (%)	By weight (g)	Molar mass (g/mol)	Mole (mol)	lons	Mole (mol)	Mole (%)
SrCO <sub>3</sub>	31.28	145	147.63	0.982	Sr <sup>2+</sup>	0.982	0.088
BaCO <sub>3</sub>	31.79	197	197.34	0.998	Ba <sup>2+</sup>	0.998	0.090
SiO <sub>2</sub>	33.40	63	60.08	1.049	Si <sup>4+</sup>	1.049	0.094
$Eu_2O_3$	0.32	3.5	351.926	0.01	O <sup>2-</sup>	8.068	0.726
NH₄CI	3.22	5.4	53.49	0.101	Eu <sup>2+</sup>	0.02	0.002

Table 1. Composition of Green-Emitting SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> Phosphor

Firstly, the materials are slurried in the water to be mixed together. Then they are ball-milled in the water into small particles. After that, the materials will be powdered as soon as they are dried in the air. Secondly, this powder will be fired in capped quartz tubes with CO at 1100°C within an hour and powderized by dry milling. Then 5.4 g NH<sub>4</sub>Cl will be added into this mixture and they are mixed together by dry milling. Next, they are fired again in capped quartz tubes with CO at 1100°C within an hour and will be powderized. Finally, the materials are washed in the water for several times (pH ranges from 10 to 12) and dried after that.

# 2.2. Construction of MC-WLEDs

According to LightTools 8.5.0 program and Monte Carlo method, the phosphor layer of real MCW-LEDs is simulated with flat silicone layer. This modeling process is caried out through two main periods: (1) the mechanical structures and optical properties of MCW-LED lamps must to be set and built (2) Then the optical influences of phosphor compounding through the diversity of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration are well monitored.

In order to understand the influence of YAG:Ce<sup>3+</sup> and SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphor compounding on the performance of MCW-LED lamps, we must make some comparisons. Among them, the two types of compounding which have average CCTs of 5600 K, 6600 K, and 7700 K, dual-layer remote phosphor, is considered to clarify. In Figure 1 (a), there is a clearly description about MCW-LED lamps with conformal phosphor compounding having average CCT of 8500K. It also indicates the simulation of MCW-LEDs in which the components do not contain SrBaSiO<sub>4</sub>:Eu<sup>2+</sup>. The reflector has a bottom length of 8 mm, a height of 2.07 mm and a length of 9.85 mm at its top surface. The conformal phosphor compounding, whose thickness is fixed at 0.08 mm, covers nine chips. Each LED chip with the square base area of 1.14 mm<sup>2</sup> and the height of 0.15 mm is attached to the cavity of the reflector. The radiant flux of each blue chip is 1.16 W while 453 nm is the peak wavelength.



Figure 1. Photograph of WLEDs structure: (a) actual WLEDs, (b) bonding diagram, (c) illustration of pc-WLEDs model, (d) simulation of WLEDs using light tools commercial software

# 3. Results and Analysis

Figure 2 shows the antipodal change between the SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> green phosphorus concentration and the yellow phosphorus YAG:Ce<sup>3</sup>+. This change hiddenes two meanings: one is maintaining average CCTs and the other is this change directly affects the scattering and absorption of two phosphoric layers in WLEDs. This inevitably affects the color quality and luminescence generated by WLEDs. Thus, the choice of concentration SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> determines the color quality of WLEDs. When SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration increased from 2-20% wt, YAG:Ce<sup>3+</sup> concentration decreased to keep average CCTs. This phenomenon is the same for WLEDs with all the condition of different color temperatures of 5600 K, 6600 K, and 7700 K.



Figure 2. The change of phosphor concentration for keeping the average CCTs

It is not difficult to be seen the most noticeable effect of the SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> green phosphorus concentration on the emission spectrum of WLEDs, which is shown in Figures 3-5. Besides, a choice will be made according to the requirements of manufacturer. In

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the case of the requirement of WLEDs with high color, a small amount of flux can be considered to reduce. White light is known as the synthesis of the spectral region as shown in Figures 3, 4 and 5. These three represent emission spectra of 5600 K, 6600 K and 7700 K, respectively. The trend of the red light spectrum from 648 nm to 738 nm was found to increase with SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration. However, this is not significant unless there is an increase in the spectrum of the two regions 420-480 nm and 500-640 nm. The two-zone 420-480 nm of the spectrum enhancement helps blue-light scattering. The higher the color temperature, the higher the emission spectra as well as the higher the color and luminosity. This is a very important result when applying SrBaSiO<sub>4</sub>:Eu<sup>2+</sup>. Mostly, it is very difficult to control the color quality of WLEDs. This study implies that SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> can improve color quality of WLEDs including low color temperature (5600 K) and high (7700 K).



Figure 3. The emission spectra of 5600 K WLEDs as a function of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration







Figure 5. The emission spectra of 7700 K WLEDs as a function of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration

As shown in Figure 6, the color deviation decreases with the SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphorus concentration in all three CCTs. This can be explained by the absorption of red phosphorus. When SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> phosphor absorbs blue light from the LED chip, the blue phosphor particles turn blue light into green light. Except the blue light from the LED chip, SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> particles still absorb the yellow light. However, if it is compared to these two absorptions, the blue light absorbed by the LED chip is stronger due to the absorption properties of the material. Thus, the green light composition in WLEDs increases with the addition of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup>, which leads to an increase in colorimetric index. In the selection of modern WLED lamps, color uniformity is one of the important parameters. Obviously, the higher the color rendering index, the higher the price of white light WLED. However, the benefit of using SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> is low cost. Thus, SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> can be widely applied. Obviously, the using of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> can increase the color quality of white light of WLEDs with dual-layer phosphor structure. This is an important result of the study with the aim of improving color quality. However, it cannot be ignored the second advantage of the SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> to the emission of FPGAs is shown in Figure 7. It is easy to see that the rise in luminous flux

increases significantly as SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentrations increase in all CCTs. To prove this result, we consider expressions from (1) to (5).

This part will present and demonstrate the mathematical model of the transmitted blue light and converted yellow light in the double-layer phosphor structure, from which a huge improvement of LED efficiency can be obtained. The transmitted blue light and converted yellow light for single layer remote phosphor package with the phosphor layer thickness of 2h are expressed as follows:

$$PB_1 = PB_0 \times e^{-2\alpha_{B1}h} \tag{1}$$

$$PY_{1} = \frac{1}{2} \frac{\beta_{1} \times PB_{0}}{\alpha_{B1} - \alpha_{Y1}} (e^{-2\alpha_{Y1}h} - e^{-2\alpha_{B1}h})$$
(2)

The transmitted blue light and converted yellow light for double layer remote phosphor package with the phosphor layer thickness of *h* are defined as:

$$PB_2 = PB_0 \times e^{-2\alpha_{B2}h} \tag{3}$$

$$PY_{2} = \frac{1}{2} \frac{\beta_{2} \times PB_{0}}{\alpha_{B2} - \alpha_{Y2}} (e^{-2\alpha_{Y2}h} - e^{-2\alpha_{B2}h})$$
(4)

where *h* is the thickness of each phosphor layer. The subscript "1" and "2" are used to describe single layer and double-layer remote phosphor package.  $\beta$  presents the conversion coefficient for blue light converting to yellow light.  $\gamma$  is the reflection coefficient of the yellow light. The intensities of blue light (*PB*) and yellow light (*PY*) are the light intensity from blue LED, indicated by *PB*<sub>0</sub>.  $\alpha_{B}$ ;  $\alpha_{Y}$  are parameters describing the fractions of the energy loss of blue and yellow lights during their propagation in the phosphor layer respectively.

The lighting efficiency of pc-LEDs with the double-layer phosphor structure enhances considerably compared to a single layer structure:

$$\frac{(PB_2 + PY_2) - (PB_1 + PY_1)}{PB_1 + PY_1} > 0$$
(5)

from (5) we can see that the light output of WLEDs with the dual-layer remote phosphor is greater than the single-layer phosphor. Thus, the paper demonstrates the effective output of the dual-layer remote phosphor layer.

However, this color homogeneity is only one factor that evaluates the color quality of WLEDs. This cannot say good color quality only if high color uniformity index. Thus, some current studies provide a color rendering index (CRI), which can evaluate the true color of the object when the light is shining. The amount of green light goes up, which causes the color imbalance between the three main colors: blue, yellow and green. This affects the color quality of WLEDs, which decreases the color accuracy of WLEDs. Specifically, Figure 8 shows the mitigation of CRI in the presence of the SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> remote phosphor layer.





Figure 7. The luminous flux of WLEDs as a function of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration





Figure 8. The color rendering index of WLEDs as a function of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> concentration

#### 4. Conclusion

This paper presents the effect of green phosphor SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> on the optical properties of the dual-layer phosphor structure. Based on Monte Carlo simulation simulations, the study demonstrated that SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> is the appropriate choice for enhancing color uniformity. This is not only true for WLEDs with a low color temperature of 5600 K but also for color temperatures above 7700 K. Thus, this research has achieved a goal of improving color and luminous flux. However, there is still a small disadvantage for CRI that is when the concentration of SrBaSiO<sub>4</sub>:Eu<sup>2+</sup> exceeds, CRI decreases dramatically. Therefore, the choice of suitable concentration becomes important, depending on the manufacturer's goal and the article provided important information for reference in the production of color-coordinated WLEDs and better luminous flux.

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