# On the performance of reconfigurable intelligent surface-assisted UAV-to-ground communication systems

# Duong Huu Ai<sup>1</sup>, Van Loi Nguyen<sup>3</sup>, Hoang Huu Duc<sup>2</sup>, Khanh Ty Luong<sup>3</sup>

<sup>1</sup>Department of Electronics Engineering, Faculty of Computer Engineering and Electronics, The University of Danang-Vietnam-Korea University of Information and Communication Technology, Danang, Vietnam

<sup>2</sup>Department of Computer Network, Faculty of Computer Engineering and Electronics, The University of Danang-Vietnam-Korea University of Information and Communication Technology, Danang, Vietnam

<sup>3</sup>Department of Multimedia Communication, Faculty of Computer Science, The University of Danang-Vietnam-Korea University of Information and Communication Technology, Danang, Vietnam

#### Article Info

## Article history:

Received Oct 23, 2022 Revised Feb 01, 2023 Accepted Feb 16, 2023

#### Keywords:

Average channel capacity Average symbol error rate Quadrature amplitude modulation Reconfigurable intelligent surface Unmanned aerial vehicle

### ABSTRACT

In this study, to reduce the average symbol error rate, and improve coverage and reliability of unmanned aerial vehicles (UAVs) to ground communication systems. In this case, we propose a reconfigurable intelligent surfaces (RISs) assisted for UAV to ground communication scheme, where radio frequency (RF) signal generator sends an unmodulated carrier signal from UAVs to the RIS. At reconfigurable intelligent surface, the RIS modulates each signal, and RIS is as a signal generator. We carry out a performance analysis of UAV-to-ground communication systems with RIS-assisted and without RIS for subcarrier quadrature amplitude modulation (SC-QAM) technique. The analytical expressions of average symbol error rare (ASER) and average channel capacity (ACC) is derived. From the results, it is show that with RIS assisted can effectively improve the reliability and coverage of the UAVs to ground communication systems.

This is an open access article under the <u>CC BY-SA</u> license.



# **Corresponding Author:**

Duong Huu Ai Department of Elect

Department of Electronics Engineering, Faculty of Computer Engineering and Electronics The University of Danang-Vietnam-Korea University of Information and Communication Technology Danang, Vietnam Email: dhai@vku.udn.vn

#### 1. INTRODUCTION

Unmanned aerial vehicle (UAVs) communication is playing an important role and required in several working environments. UAVs communication systems are a promising system to support the high-speed connections of the fifth-generation wireless and next generation wireless communication networks [1]. With the advantages of UAVs, such as small size and flexibility, UAVs are used for perform networking in communication difficult to reach networking and in communication network that is disabled.

Currently, research on the 5G wireless communication systems using UAVs are researched and deployed more. In [2], analyse the performance evaluation of the UAV to UAV communication system that is spatially random UAVs. In [3], outage performance of UAV-aided relaying system with radio frequency energy harvesting of different urban environmental parameters. In [4], the drone as a relay station to archive the maximum throughput. The reconfigurable intelligent surfaces (RISs) have recently been shown to significantly improve the performance of non-line-of-sight wireless communication systems. RISs technology has been studied and used for several wireless communication systems in the past few years [5]-[18]. The advantage of the RIS technique is to provide the wireless networks several advantages over relay technologies [19]-[27].

This study, we set up analytic expressions and study on the performance of UAV-to-ground communication systems with RISs assisted. We theoretically analysis the average channel capacity (ACC) and average symbol error rate of signal-to-noise ratio (SNR) of communication systems. The study is organized into the following sections: section 2 presents the system and channel model, section 3 presents the analysis on the performance, section 4 presents results and discussions, and we conclude the study in section 5.

# 2. SYSTEM AND CHANNEL MODELS

The RIS-assisted UAV communication systems under study is shown in Figure 1, where the signal from source (UAV) transmitted to the destination (D) after reflection on a RIS element. At this stage, in [5] we use amplify and forward (AF) method and in this case, the UAV successfully the received signal. Then, the UAV sends the AF signal to D through an UAV to ground communication link.



Figure 1. Diagram of RIS assisted UAV to ground wireless communication link

In the first, the source node (UAV) send the signal to the destination node (D), the received signal at destination can be given by:

$$y_D = gx + n \tag{1}$$

In which:

- $n \sim CN(0, N_0)$ : the additive white gaussian noise (AWGN) noise
- *x*: the signal from source
- *g*: the gain of channel

$$g = \frac{1}{\sqrt{L_{UD}}} \beta_{UD} e^{\xi_i} \tag{2}$$

The signal-to-noise ratio at destination can be given by:

$$\gamma_D = \frac{|\beta_{UD}|^2 P_U}{N_0 L_{UD}} \tag{3}$$

Where  $L_{UD} = 10 \log 10(l_{UD}^{\alpha}) + A$  is the path loss,  $P_U$  is the transmit power of the UAV,  $L_{UD} = L_{UR} + L_{RD}$  is the total distance from UAV to destination D, in there  $L_{UR}$  is the distance from UAV to RIS module,  $L_{RD}$  is the distance from RIS module to destination D. In [6], the probability density function of  $\gamma_D$  can be written.

$$f_{\gamma_D}(\gamma_D) = \frac{(1+K)e^{-K}}{\tilde{\gamma}} exp\left[-\frac{(1+K)\gamma_D}{\tilde{\gamma}}\right] \times I_0\left(2\sqrt{\frac{K(1+K)\gamma_D}{\tilde{\gamma}}}\right)$$
(4)

In (4),  $\bar{\gamma} = \frac{P_U}{N_0 L_{UD}}$  where *K* is the Rician fading factor.

### 3. ANALYSIS ON THE PERFORMANCE

# 3.1. Average symbol error rate

In the second, we analyze the performance of UAV to ground communication systems with the average symbol error rate and average channel capacity. Average symbol error rate (ASER) of systems using subcarrier quadrature amplitude modulation can be given by [7].

$$P_{se} = \int_0^{+\infty} P_e(\gamma) f_{\gamma}(\gamma) d\gamma \tag{5}$$

In with, The conditional error probability (CEP),  $P_e(\gamma)$ . With using QAM modulation, the CEP can be presented as:

$$P_e(\gamma) = 1 - \left[1 - 2q(M_I)Q(A_I)\sqrt{\gamma}\right] \left[1 - 2q(M_Q)Q(A_Q\sqrt{\gamma})\right]$$
(6)

in-phase.

In with:

- 
$$A_I = (6/[(M_I^2 - 1) + r^2(M_Q^2 - 1)])^{1/2}$$
  
-  $A_Q = (6r^2/[(M_I^2 - 1) + r^2(M_Q^2 - 1)])^{1/2}$   
-  $q(x) = 1 - x^{-1}$   
-  $Q(x) = 0.5 \ erfc(x/\sqrt{2})$ : the Gaussian Q-function.  
-  $r = d_Q/d_I$ : the decision distance ratio of quadrature to

-  $M_I$ ,  $M_Q$ : in-phase and quadrature signal amplitudes, respectively.

1,1/2

The PDF of signal-to-noise ratio,  $f_{\gamma}(\gamma)$ , can be given by:

$$P_{se}(\gamma) = 2q(M_I) \int_0^{+\infty} Q(A_I \sqrt{\gamma_D}) \frac{(1+K)e^{-K}}{\tilde{\gamma}} exp\left[-\frac{(1+K)\gamma_D}{\tilde{\gamma}}\right] \times I_0\left(2\sqrt{\frac{K(1+K)\gamma_D}{\tilde{\gamma}}}\right) d\gamma_D + 2q(M_Q) \int_0^{+\infty} Q(A_Q \sqrt{\gamma_D}) \frac{(1+K)e^{-K}}{\tilde{\gamma}} exp\left[-\frac{(1+K)\gamma_D}{\tilde{\gamma}}\right] \times I_0\left(2\sqrt{\frac{K(1+K)\gamma_D}{\tilde{\gamma}}}\right) d\gamma_D - 4q(M_I)q(M_Q) \int_0^{+\infty} Q(A_I \sqrt{\gamma_D})Q(A_Q \sqrt{\gamma_D}) \frac{(1+K)e^{-K}}{\tilde{\gamma}} exp\left[-\frac{(1+K)\gamma_D}{\tilde{\gamma}}\right] \times I_0\left(2\sqrt{\frac{K(1+K)\gamma_D}{\tilde{\gamma}}}\right) d\gamma_D$$
(7)

#### 3.2. Average channel capacity

The second performance parameter is average channel capacity is considered as average value and a random variable,  $\langle \overline{C} \rangle$ . The ACC can also be represented by average spectral efficiency (ASE). Assuming that the optical channel is perfect channel state information is available at both the receivers and transmitters. The ASE can be estimated as [8]:

$$\langle \bar{C} \rangle = \int_0^\infty B \log_2(1+\gamma) \times f(\gamma) d\gamma, \text{[bit/s/Hz]}$$
(8)

In with:

- B: the channel's bandwidth

-  $f_{\gamma}(\gamma)$ : the pdf of SNR

The ASE of communication system can be given by:

$$\langle \bar{C} \rangle = \int_0^\infty Blog_2(1+\gamma) \times \frac{(1+K)e^{-K}}{\bar{\gamma}} exp\left[-\frac{(1+K)\gamma_D}{\bar{\gamma}}\right] \times I_0\left(2\sqrt{\frac{K(1+K)\gamma_D}{\bar{\gamma}}}\right) d\gamma_D, \text{ [bit/s/Hz]}$$
(9)

#### 4. NUMERICAL RESULTS AND DISCUSSIONS

Using the (7) and the (9), we present numerical results and discussions for ASE and ASER of the RIS-assisted UAV-to-ground communication systems. In this analysis, the constants and parameters are presented in Table 1. Figure 2, illustrates the ASER versus signal-to-noise ratio for with RIS and without RIS. The ASER is presented as a function of SNR under several value of link distances. In these figure it is clearly depicted that the ASER of the system with RISs assisted is obviously lower.

Figure 3, illustrates the ASE performance versus signal-to-noise ratio with RIS and without RIS under several value of link distances. As it is shown that, the system's ASE of system is improved significantly with the RIS-assisted communication link. The impact of the RIS assisted, ASE on the performance of system is more significant in high of SNR regions than in low regions.

Table 1. System constants and parameters Parameter Symbol Value Laser wavelength 1550 nm λ Photodetector responsivity R 1 A/W Modulation index κ 1 10<sup>-7</sup> A/Hz Total noise variance  $N_0$ 

Quadrature amplitude modulation

Receiver aperture diameter

Index of refraction structure

 $M_I \times M_Q$ 

D

 $C_n^2$ 

 $8 \times 4$ 

0.06 m

 $10^{-15} m^{-2/3}$ 



Figure 2. ASER performance versus SNR with RIS and without RIS



Figure 3. ACC performance versus SNR with RIS and without RIS

# 5. CONCLUSION

This study analyze the performance of RIS-assisted UAV-to-ground wireless communication system with subcarrier quadrature amplitude modulation was proposed. We analyzed the average symbol error rate and average channel capacity of systems. With the numerical results, it is showed that RIS-assisted can significantly reduce the ASER and the system's ASE is improved significantly.

#### REFERENCES

- M. Z. Chowdhury, M. Shahjalal, S. Ahmed, and Y. M. Jang, "6G wireless communication systems: Applications, requirements, technologies, challenges, and research directions," *IEEE Open Journal of the Communications Society*, vol. 1, pp. 957–975, 2020, doi: 10.1109/OJCOMS.2020.3010270.
- [2] J. Ye, C. Zhang, H. Lei, G. Pan, and Z. Ding, "Secure UAV-to-UAV systems with spatially random UAVs," *IEEE Wireless Communications Letters*, vol. 8, no. 2, pp. 564-567, 2019, doi: 10.1109/LWC.2018.2879842.
- [3] L. Yang, J. Chen, M. O. Hasna, and H. -C. Yang, "Outage performance of UAV-assisted relaying systems with RF energy harvesting," *IEEE Communications Letters*, vol. 22, no. 12, pp. 2471-2474, 2018, doi: 10.1109/LCOMM.2018.2876869.
- [4] Y. Zeng, R. Zhang, and T. J. Lim, "Throughput maximization for UAVenabled mobile relaying systems," *IEEE Transactions on Communications*, vol. 64, no. 12, pp. 4983-4996, 2016, doi: 10.1109/TCOMM.2016.2611512.
- [5] D. H. Ai, C. D. Vuong, and D. T. Dang, "Average symbol error rate analysis of reconfigurable intelligent surfaces-assisted free-space optical link over log-normal turbulence channels," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 13, no. 1, pp. 571-578, 2023, doi: 10.11591/ijece.v13i1.pp571-578.
- [6] S. Li, B. Duo, X. Yuan, Y. -C. Liang, and M. D. Renzo, "Reconfigurable intelligent surface assisted UAV communication: joint trajectory design and passive beamforming," *IEEE Wireless Communications Letters*, vol. 9, no. 5, pp. 716-720, 2020, doi: 10.1109/LWC.2020.2966705.
- [7] D. H. Ai, D. T. Dang, N. V. A. Quang, and V. L. Nguyen, "Analysis on the performance of reconfigurable intelligent surface-aided free-space optical link under atmospheric turbulence and pointing errors," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 13, no. 4, pp. 4204-4211, 2023, doi: 10.11591/ijece.v13i4.pp4204-4211.
- [8] D. H. Ai, V. L. Nguyen, and K. T. Luong, "Misalignment fading effects on the average channel capacity performance of AF relay-assisted MIMO/FSO systems over atmospheric turbulence channels," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 12, no. 1, pp. 966-973, 2022, doi: 10.11591/ijece.v12i1.pp966-973.
   [9] K. O. Odeyemi and P. A. Owolawi, "On the performance of energy harvesting AF partial relay selection with TAS and outdated
- [9] K. O. Odeyemi and P. A. Owolawi, "On the performance of energy harvesting AF partial relay selection with TAS and outdated channel state information over identical channels," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 10, no. 5, pp. 5296-5305, 2020, doi: 10.11591/ijece.v10i5.pp5296-5305.
- [10] D. H. Ai, "Average Channel Capacity of Amplify-and-Forward MIMO/FSO Systems over Atmospheric Turbulence Channels," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 8, no. 6, pp. 4334-4342, 2018, doi: 10.11591/ijece.v8i6.pp4334-4342.
- [11] M. Najafi and R. Schober, "Intelligent reflecting surfaces for free space optical communications," in 2019 IEEE Global Communications Conference (GLOBECOM), 2019, pp. 1-7, doi: 10.1109/GLOBECOM38437.2019.9013840.
  [12] H. Ajam, M. Najafi, V. Jamali, and R. Schober, "Channel modeling for IRS-assisted FSO systems," arXiv preprint, 2020,
- [12] H. Ajam, M. Najafi, V. Jamali, and R. Schober, "Channel modeling for IRS-assisted FSO systems," arXiv preprint, 2020. doi: 10.48550/arXiv.2010.00528.
- [13] L. Yang, W. Guo, D. B. D. Costa, and M. -S. Alouini, "Free-space optical communication with reconfigurable intelligent surfaces," arXiv preprint, 2020, doi: 10.48550/arXiv.2012.00547.
- [14] H. Wang, Z. Zhang, B. Zhu, J. Dang, and L. Wu, "Two new approaches to optical IRSs: Schemes and comparative analysis," arXiv preprint, 2020, doi: 10.48550/arXiv.2012.15398.
- [15] A. R. Ndjiongue, T. M. N. Ngatched, O. A. Dobre, and H. Haas, "Design of a power amplifying-RIS for free-space optical communication systems," *arXiv preprint*, 2021, doi: 10.48550/arXiv.2104.03449.
- [16] M. Zeng, X. Li, G. Li, W. Hao, and O. A. Dobre, "Sum rate maximization for IRS-assisted uplink NOMA," *IEEE Communications Letters*, vol. 25, no. 1, pp. 234-238, 2021, doi: 10.1109/LCOMM.2020.3025978.
- [17] M. A. ElMossallamy, H. Zhang, L. Song, K. G. Seddik, Z. Han, and G. Y. Li, "Reconfigurable intelligent surfaces for wireless communications: Principles, challenges, and opportunities," *IEEE Transactions on Cognitive Communications and Networking*, vol. 6, no. 3, pp. 990–1002, 2020, doi: 10.1109/TCCN.2020.2992604.
- [18] S. Atapattu, R. Fan, P. Dharmawansa, G. Wang, J. Evans, and T. A. Tsiftsis, "Reconfigurable intelligent surface assisted two-way communications: Performance analysis and optimization," *IEEE Transactions on Communications*, vol. 68, no. 10, pp. 6552–6567, 2020, doi: 10.1109/TCOMM.2020.3008402.
- [19] E. Basar, "Reconfigurable intelligent surface-based index modulation: A new beyond MIMO paradigm for 6G," *IEEE Transactions on Communications*, vol. 68, no. 5, pp. 3187–3196, 2020, doi: 10.1109/TCOMM.2020.2971486.
- [20] A. R. Ndjiongue, T. M. N. Ngatched, O. A. Dobre, and H. Haas, "Towards the use of re-configurable intelligent surfaces in VLC systems: Beam steering," *IEEE Wireless Communications*, vol. 28, no. 3, pp. 156-162, 2021, doi: 10.1109/MWC.001.2000365.
- [21] D. H. Ai and V. L. Nguyen, "BER analysis of amplify-and-forward relaying FSO systems using APD receiver over strong atmospheric turbulence channels," *International Journal of Electrical and Computer Engineering (IJECE)*, vol. 9, no. 5, pp. 3678-3686, 2019, doi: 10.11591/ijece.v9i5.pp3678-3686.
- [22] C. U. Hail, A. -K. U. Michel, D. Poulikakos, and H. Eghlidi, "Optical Metasurfaces: Evolving from passive to adaptive," *Advanced Optical Materials*, vol. 7, no. 14, 2019, doi: 10.1002/adom.201801786.
- [23] Z. Yigit, E. Basar, and I. Altunbas, "Low complexity adaptation for reconfigurable intelligent surface-based MIMO systems," *IEEE Communications Letters*, vol. 24, no. 12, pp. 2946-2950, 2020, doi: 10.1109/LCOMM.2020.3014820.
- [24] L. Yang, F. Meng, J. Zhang, M. O. Hasna, and M. D. Renzo, "On the performance of RIS-assisted dual-hop UAV communication systems," *IEEE Transactions on Vehicular Technology*, vol. 69, no. 9, pp. 10385–10390, 2020, doi: 10.1109/TVT.2020.3004598.
- [25] T. Ma, Y. Xiao, X. Lei, P. Yang, X. Lei, and O. A. Dobre, "Large intelligent surface assisted wireless communications with spatial modulation and antenna selection," *IEEE Journal on Selected Areas in Communications*, vol. 38, no. 11, pp. 2562–2574, 2020, doi: 10.1109/JSAC.2020.3007044.
- [26] J. Ye, S. Guo, and M. -S. Alouini, "Joint reflecting and precoding designs for SER minimization in reconfigurable intelligent surfaces assisted MIMO systems," *IEEE Transactions on Wireless Communications*, vol. 19, no. 8, pp. 5561–5574, 2020, doi: 10.1109/TWC.2020.2994455.
- [27] H. Wang *et al.*, "Performance of wireless optical communication with reconfigurable intelligent surfaces and random obstacles," *ArXiv preprint*, 2020, doi: 10.48550/arXiv.2001.05715.

# **BIOGRAPHIES OF AUTHORS**



**Duong Huu Ai b s s c** he received the Master of Electronic Engineering from Danang University of Technology, Vietnam, in 2011, and the Ph.D. degree in Electronics and Telecommunications from Hanoi University of Technology, Vietnam, in 2018. Currently, he is a lecturer at The University of Danang - Vietnam-Korea University of Information and Communication Technology, Danang City, Vietnam. His research interests include optical wireless communications, optical and quantum electronics, 5G wireless communications and broadband networks, and IoT. He can be contacted at email: dhai@vku.udn.vn.



**Van Loi Nguyen Van L** 



**Hoang Huu Duc D S E c** he received his Master of Engineering in Computer Science from the University of Danang, Vietnam in 2013, a Ph.D. degree from Soongsil University in 2017. Currently, he is a lecturer at The University of Danang - Vietnam-Korea University of Information and Communication Technology, Danang City, Vietnam. His research interests include multimedia, information retrieval, artificial intelligence, and IoT. He can be contacted at email: hhduc@vku.udn.vn.



**Khanh Ty Luong (D) S (S)** he received his Master of Engineering in Computer Science from the University of Danang, Vietnam in 2012. Currently, he is a lecturer at The University of Danang - Vietnam-Korea University of Information and Communication Technology, Danang City, Vietnam. His research interests include database, artificial intelligence, IoT, and optical wireless communications. He can be contacted email: lkty@vku.udn.vn.