# A new miniaturized wideband self-isolated two-port MIMO antenna for 5G millimeter-wave applications

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# ABSTRACT

Nowadays, millimeter-wave frequencies present a catchy solution to securing the colossal data rate needed for 5G communications. Accordingly, this research deals with the conception of a novel orthogonal  $2\times 2$  multiple input, multiple output (MIMO) antenna design operating in the millimeter wave spectrum with quite small dimensions of 11×6×0.8 mm<sup>3</sup>. The single antenna element consists of a trapezoidal microstrip patch antenna built on the Rogers RT5880 laminate with a permittivity of 2.2 and tangent loss of 0.0009. A trapezoidal-slot ground plane is used to support the structure. The antenna resonates at 28 GHz with a large bandwidth of 4 GHz from 26 to 30 GHz, a good gain of up to 5 dB, and a high radiation efficiency of 99%. A strong isolation is achieved that surpasses 26 dB. Besides, a high diversity performance is achieved where the envelope correlation coefficient (ECC) is lower than 0.001, the diversity gain (DG) is greater than 10 dB, and the channel capacity loss (CCL) is no longer than 0.4 bit/s/Hz. The achieved outcomes prove the robustness of the suggested MIMO antenna and qualify it to be a strong candidate for 5G wireless devices.

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# 1. INTRODUCTION

The rapid development and expansion of mobile terminals has resulted in an unprecedented increase in data traffic via internet technology. Hence, based on the Federal Communications Commission (FCC), the congestion in the microwave band may be eliminated by dedicating various bands in the millimeter-wave (mm-wave) spectrum beyond 24 GHz to execute the fifth-generation systems. Accordingly, many millimeter-wave frequency bands have been specified by the FCC, which include the pioneer bands, namely 27.5–28.35 GHz and 26.5–29.5 GHz around 28 GHz [1], [2]. The advantage of using the mm-wave band is its small size, which allows the incorporation of multiple antennas in a small space. However, the high-frequency spectrum is highly sensitive to weather conditions, which impacts the signals' transmission considerably owing to the severe path loss in the mm-wave bands compared to the microwave band, which can be addressed by implementing the concept of small cells together with using high-gain antennas [3]–[10].

In terms of its primary function of increasing data rate and channel capacity, the multiple-input multiple-output (MIMO) antenna is regarded as one of the most promising technologies for improving transmission quality at millimeter wave frequencies [11]-[17]. Therefore, plenty of research has been reported in the state of the art to suggest different MIMO antenna designs for the utilization of 5G wireless devices in the mm-wave spectrum. For instance, in [18], a two-element conventional patch MIMO antenna has been proposed for wearable applications at 24 GHz using an electromagnetic band gap (EBG) structure for gain improvement. However, the total size was  $21.6 \times 21.6$  mm<sup>2</sup>, which can be further miniaturized, and the bandwidth exhibited was only 0.8 GHz. Jilani et al. [19] presented a four-element coplanar waveguid (CPW) T-shaped MIMO antenna for ultra wide band (UWB) band applications. Nevertheless, the overall system occupied a sizeable area of 12×50.8 mm<sup>2</sup>. In [20], a two-port modified patch MIMO antenna has been studied for dual-band operation. The antenna was providing a resonable bandwidth of 2.55 and 2.1 GHz at 28 and 38 GHz, respectively. But a low maximum gain of 1.83 dB was acheived. Similarly, in [21], a dual-band, two-port planar MIMO antenna has been suggested for the applications at 27 and 39 GHz. Although the antenna supported the wideband property, the total size was somewhat large, and only the ECC was treated to assess the MIMO performance. In [22], a substrate-integrated waveguide (SIW)-based 2-port MIMO antenna was investigated for 5G applications at the 28 GHz millimeter-wave spectrum. The MIMO design has provided a minimum isolation of 17 dB and a gain of up to 6.9 dB. Nonetheless, the bandwidth attained was only 0.4 GHz. In [23], a planar dual-element MIMO antenna has been suggested for employment at 28 GHz. The antenna was offering a reasonable gain of up to 7.88 dB. However, a relatively small bandwidth was exhibited, and a sizeable area has been occupied. Regarding the literature review above, various limitations have been observed in the reported designs, which are mainly represented by the large dimensions, small bandwidth, low gain, and complex structure that inherently reduce their suitability for the 5G systems. Accordingly, it is still needed to create a new MIMO antenna design that simultaneously combines the most coveted features, including small size, simple geometry, wide bandwidth, desirable radiation behavior, and high MIMO performance, which is the purpose of this study.

In this paper, a new 2×2 wideband MIMO antenna design with a common ground plane is suggested for 5G applications in the pioneering 28GHz band. The single antenna element is composed of a trapezoidal radiating element constructed on the low-loss Rogers RT/Duroid 5880 laminate ( $\varepsilon_r = 2.2, tan\delta = 0.0009$ ) and backed by a slotted ground plane for miniaturization and bandwidth enhancement purposes. The intended design outperforms the other existing ones by virtue of its simple, compact, and tiny geometry, along with impressive performance, including a wide bandwidth and desirable radiation traits, besides assuring high MIMO competencies that make it a strong candidate for 5G systems. The rest of this paper is structured in the following manner: the single antenna design and performance are presented in section 2. The configuration and performance of the MIMO antenna are investigated in section 3. A comparison with the existing literature is performed in section 4. Finally, section 5 summarizes the study.

### 2. PROPOSED SINGLE ANTENNA DESIGN

# 2.1. Configuration of the antenna

The layout of the proposed antenna is manifested in Figure 1. As can be seen, the antenna is composed of a trapezoidal-shaped radiating plate alimented by a microstrip 50  $\Omega$  feeding line and built on the low-loss Rogers RT5880 laminate, characterized by a dielectric constant  $\varepsilon_r$  of 2.2, a low-loss tangent of 0.0009, and a thickness *hs* of 0.8 mm. The entire structure is then supported by a metallic ground plane with an etched trapezoidal slot. The overall antenna design is distinguished by its quite small dimensions of  $5 \times 6 \times 0.8 \text{ mm}^3$ , while the final values of the remaining parameters are inserted in the same Figure 1. It is worth pointing out that the antenna structure was wisely and closely designed with fine-refinement of all the parameters to achieve the best results using the electromagnetic simulator well-known by computer simulation technology (CST) software.

# 2.2. Antenna evolution steps

Figure 2 presents the basic stepwise geometry that was followed to achieve the suggested design along with its corresponding frequency response. As shown in Figure 2(a), in the first stage, a conventional rectangular patch antenna (reference antenna) of width Wp = 2.4 mm and length Lp = 2.2 mm supported by a full uniform ground plane, has been carefully modeled using CST software based on the basic (1)-(4) [6]. As depicted in Figure 2(b), the second stage is executed by applying a triangular cut from both sides of the radiating patch which converts the conventional rectangular patch antenna to a trapezoidal antenna. Finally, as mentioned in Figure 2(c), the last step is accomplished by subtracting a trapezoidal-shaped slot from the ground plane beneath the radiating patch. While, the intended resonance is achieved after a careful adjustment of the slot dimensions. In order to comprehend the impact of each modification on the antenna response, Figure 2(d) presents the reflection coefficient of the antenna at each evolutionary step. As can be seen, in the first stage, the initial antenna design has a resonant frequency at 34 GHz with a total bandwidth of 2.8 GHz, with reference to  $|S11| \leq -10 \, dB$ , where the large bandwidth acquired is attributed to the small size of the radiating patch compared to the ground plane size. However, despite the broadband feature achieved, the reference antenna does not operate in the required 28 GHz band which necessitates further geometrical modification. Accordingly, the next steps are accomplished with the target to bring the resonant frequency at the desired frequency band with an improved bandwidth and optimized impedance matching. Hence, in the second stage, it can be seen that the executed cuts serve to shift the resonating frequency, i.e., 34 GHz, toward the lower frequency spectrum where it is settled at 33.2 GHz with an improved impedance matching and a full bandwidth of 2.2 GHz.

$$W_p = \frac{c}{2f_r \sqrt{\frac{\varepsilon_r + 1}{2}}} \tag{1}$$

$$\varepsilon_{eff} = \frac{\varepsilon_r + 1}{2} + \frac{\varepsilon_r - 1}{2} \left[ 1 + 12 \left( \frac{h_s}{W_p} \right) \right]^{-1/2} \tag{2}$$

$$\Delta L = 0.421 h_s \frac{(\varepsilon_{eff} + 0.3) \left(\frac{Wp}{h_s} + 0.264\right)}{(\varepsilon_{eff} - 0.258) \left(\frac{Wp}{h_s} + 0.8\right)}$$
(3)

$$L_p = \frac{c}{2f_r \sqrt{\varepsilon_{eff}}} - 2\Delta L \tag{4}$$



Figure 1. Proposed antenna configuration



Figure 2. Evolution procedure of the proposed antenna: (a) reference antenna, (b) trapezoidal antenna, (c) proposed antenna, and (d) correponding reflection coefficient

Thus, the ultimate step is executed with the intention to adjust the resonance at the desired frequency band. As illustrated, the trapezoidal slot has led to effectively altering the resonating frequency towards the lower frequency range until it was finally settled at the required frequency, i.e., 28 GHz with an improved bandwidth up to 4 GHz and high impedance matching where the reflection coefficient reaches a minimum value of -45 dB. Consequently, the proposed design succeeded in providing a wideband property in the 28 GHz band without needing to increase the overall dimensions.

To further investigate the antenna behavior, Figure 3 presents the electrical current distribution of the trapezoidal antenna with and without the trapezoidal slot. By observing the surface current distribution shown in Figure 3(a), it can be seen that the lateral cuts contribute to extend the electric current path along the radiating patch borders which increases the electrical length, conducting to decrease the resonating frequency. Furthermore, it can be observed that before etching the trapezoidal slot, the electric current is also concentrated in the ground directly beneath the patch owing to the coupling effect. The influence of the etched slot can be clearly remarked from the current distribution configured in Figure 3(b). As illustrated, after engraving the slot, it is observed that the current has trend to be intensified along the slot edges, as the later favour edges to go through. Therefore, the engraved slot serves to add extra edges for the current distribution, which further increased the antenna's electric length, leading to decrease the antenna resonant frequency.



Figure 3. Current distribution of (a) trapezoidal antenna at 33.2 GHz and (b) proposed antenna at 28 GHz

#### 2.3. Parametrical analysis of the trapezoidal slot

In order to well know the effect of the added slot on the antenna frequency response, Figure 4 illustrates the parametric study on the long base bg, short base sg and the height h of the slot. As appeared in Figure 4(a), when the long base bg is altered from 3.8 to 4.4 mm the resonant frequency is shifted from 28.5 GHz towards the lower frequency. Where the required resonance is detected when bg is 4.2 mm. The effect of the height h on the reflection coefficient is presented in Figure 4(b), as seen, when the height h is increased from 2.1 to 2.5 mm the resonant frequency is slightly affected. In contrast the impedance matching is remarkably changed while the best matching is attained when the height h is 2.3 mm. The influence of the short base sg is depicted in Figure 4(c), as manifested, the short base sg has a significant effect on the resonating frequency when it is swapped from 2 to 2.8 mm.



Figure 4. Parametrical study of various parameters related to the trapezoidal slot: (a) bg parameter, (b) h parameter, and (c) sg parameter

Where the resonance is decreased from 28.9 to 27.5 GHz and the optimized response is achieved when the short base sg is 2.4 mm. Consequently, the executed parametric analysis has proven the crucial role of the engraved slot parameters on the frequency response. Indeed, a careful tuning of the slot dimensions leads to the best possible antenna response.

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#### 2.4. Results and discussions

Figure 5(a) plots the reflection coefficient (S11) of the suggested design, which is generated using two computational tools, namely CST and high frequency structure simulator (HFSS) software, for validation purpose. As reported, the extracted curves demonstrate a great compatibility with an insignificant discrepancy, which can be due to the different computational methods adopted by both simulators. A pretty-good operation is shown, where the antenna resonates at the prominent frequency band of 28 GHz and has a large band characteristic of 4 GHz from 26 GHz to 30 GHz, which includes some of the most promising bands for the 5G systems such as 27.5–28.35 GHz, 26.5–29.5 GHz, and 27.5–29.5 GHz. Hence, the suggested antenna is capable of operating in different geographical areas.

The input impedance of the suggested antenna is depicted in Figure 5(b). The antenna input impedance is defined by a complex number, where the real part is the antenna resistance and the imaginary part is the reactance of the antenna. Therefore, for a good impedance match at a certain frequency, the resistance should be around 50  $\Omega$  while the reactance must be close to 0  $\Omega$ . As revealed in Figure 5(b), at the resonance frequency, i.e., 28 GHz, the resistance is 49.4  $\Omega$  and the reactance is -0.34  $\Omega$ , which assures a good matching property. Figure 6 depicts the radiation performance of the proposed antenna. Figure 6(a) displays the two-dimensional radiation pattern in the E-plane (YOZ) and H-plane (XOZ) at different frequencies, namely 26 GHz, 28 GHz, and 29 GHz.



Figure 5. Impedance characteristics: (a) reflection coefficient of the suggested antenna and (b) input impedance



Figure 6. The proposed antenna: (a) 2D radiation pattern at various frequencies and (b) gain and radiation efficiency vs frequency

As revealed, at the three selected frequencies, the suggested antenna shows good radiation behavior in both cutting planes. Indeed, it is noted that the antenna preserves a bidirectional and omnidirectional pattern in the E and H planes, respectively, which proves a stable and uniform radiation performance. Figure 6(b) depicts the gain and radiation efficiency over frequency. A good radiation characteristics are observed across the entire operating band where the gain is set at 5 dB and the radiation efficiency ranges from between 98 and 99%.

TELKOMNIKA Telecommun Comput El Control, Vol. 21, No. 3, June 2023: 630-640

#### 3. MIMO ANTENNA DESIGN

## 3.1. Proposed configuration and S-parameters

Given the necessity of the MIMO antenna to realize 5G technology and its significant role in ensuring high data rate throughput, this section is assigned to propose a MIMO system based on the single antenna discussed above. As shown in Figure 7, the MIMO antenna is made up of two units of a single antenna that are placed orthogonally on the same Rogers 5880 and have a total size of  $11 \times 6$  mm<sup>2</sup>. The orthogonal placement serves to provide polarization diversity, which in turn helps to enhance the isolation. Furthermore, the proposed configuration supports the common ground plane structure, which is required for its practical use and integration with other components in wireless devices. As reported in the same figure, the MIMO assembly does not affect the individual performance of elements. Indeed, both elements exhibit very good performance in terms of reflection coefficient and mutual coupling, where a large operating band from 26 GHz to 30 GHz is borne with a weak mutual coupling lower than -26 dB in the whole band, which certainly contributes to highly isolated MIMO elements.



Figure 7. Layout of the MIMO antenna along with its resulting S-parameters

#### 3.2. Effect of the orthogonal placement

In order to recognize the effect of the orthogonal arrangement, Figure 8 shows two different MIMO structures, namely, parallel and orthogonal (proposed) structures, together with their corresponding mutual coupling. The radiating plates are separated by the same distance for both structures. As seen, the orthogonal structure shows a high isolation level exceeding 26 dB as compared with the traditional parallel placement, where a low minimum isolation of only 17.5 dB is reached in the band of interest of 26–30 GHz. As a result, the use of orthogonal arrangements resulted in a significantly improved minimum isolation of 8.5 dB without the need for any design modifications. This isolation improvement in the orthogonal MIMO antenna is due to the polarization diversity where the E-field vectors have a perpendicular orientation, which inherently minimizes the coupling energy among elements and increases their isolation.



Figure 8. Mutual coupling of different MIMO structures

#### 3.3. Radiation pattern

Figure 9 displays the two-dimensional radiation pattern in the E (YZ) and H (XZ) planes for the two MIMO elements at 26 GHz, 28 GHz, and 30 GHz. As can be seen, the MIMO antenna preserves good radiation behavior in both cutting planes, which is not strongly affected by MIMO composition. Furthermore, it can be noted that the radiation pattern of one antenna element is reversed for the other element. In other words, the E-plane and H-plane of Ant1 are in reverse order for Ant2, which is referred to as the phenomenon of polarization diversity and which can help to provide uncorrelated patterns for a good communication system.



Figure 9. 2D-radiation pattern for both MIMO elements at three selected frequencies

#### 3.4. Diversity performance

In order to well study the proposed MIMO system, the diversity performance of MIMO antenna has been studied in term of the envelope correlation coefficient (ECC), diversity gain (DG), and channel capacity loss (CCL) which are presented in Figure 10. The ECC measures the correlation between the MIMO elements which should be less than 0.5 for a good MIMO performance and highly uncorrelated system. It can be calculated by the S-parameters using (5) [8].

$$ECC = \frac{|S_{11}^*S_{12} + S_{21}^*S_{22}|^2}{\left(1 - (|S_{11}|^2 + |S_{21}|^2)\right)\left(1 - (|S_{22}|^2 + |S_{12}|^2)\right)}$$
(5)

As remarked in Figure 10(a), the ECC of the MIMO antenna is below 0.001 which largely fulfill the industrial requirement. Another crucial metric to be assessed is the diversity gain, which defines the amelioration of signal to noise ratio when a diversity scheme is applied [2]. It can be determined using the ECC as formulated by (6) [11]. According to (6), the lower is the ECC the higher is DG. For high MIMO performance, the DG should be near to 10 dB, as seen in Figure 10(a), the DG is higher than 9.99 dB across the operational band and reaches a maximum value of 10 dB at the resonant frequency which proves high MIMO diversity performance. One other crutial metric to be assessed is the CCL. This parameter describes the maximum permissible loss in bit/s/Hz, at which the signals can be reliably transmitted [24], [25]. It can be computed using (7). In the practical case, the CCL should be less than 0.4 bit/s/Hz to assure a high transmitting rate.

$$DG = 10\sqrt{1 - ECC} \tag{6}$$

$$C_{loss} = -\log_2 \det(\alpha^R) \tag{7}$$

Where 
$$\alpha^{R} = \begin{bmatrix} \alpha_{11} & \alpha_{12} \\ \alpha_{21} & \alpha_{22} \end{bmatrix}$$
,  $\alpha_{ii} = 1 - (|S_{ii}|^{2} + |S_{ij}|^{2})$  and  $\alpha_{ij} = -(S_{ii}^{*}S_{ij} + S_{ji}^{*}S_{ij})$  with  $i, j = 1$  or 2.

The calculated CCL is reported in Figure 10(b). As can be seen, the proposed structure shows a very good performance, where the corresponding CCL is well below 0.4 bit/s/Hz over the operating bandwidth and reachs a minimum value close to 0 at the resonating frequency. Hence, the achieved outcome proves the ability of the intended design to fulfill a reliable communication.



Figure 10. Diversity performance of the MIMO antenna along the operating band: (a) ECC and DG and (b) CCL

# 4. COMPARISON WITH SOME RELEVANT RESEARCH

In this section, the suggested design is thoughtfully compared with other related state-of-the-art designs in terms of various standards, and the comparative study is summarized in Table 1. There is no doubt that the published research contains an infinite number of reports that present various MIMO antenna designs for millimeter-wave 5G systems. However, if one looks at the table of comparison that is provided below, they will see that the design that has been proposed is superior to the designs that have been mentioned in a number of significant ways. In point of fact, the MIMO design that we have proposed has the smallest size and the highest radiation efficiency in addition to bearing a broad bandwidth and high isolation. Additionally, it can be seen that the proposed design maintains a good comparable gain and diversity performance that is satisfactory, whereas the majority of configurations are not examined in terms of CCL. In addition, the usability of some of the works that were reported, such as [20], [21], [26] [27], was hindered because they did not use the common ground structure. Because of this, the accomplishments demonstrate that the proposed structure has a high potential to become a suitable candidate for 5G application because it provides attractive performance in comparison to other designs that are of a larger size.

Table 1. Comparison with other existing literature								
Ref.	Size (mm <sup>3</sup> )	Number of elements	Bandwidth (GHz)	Frequency range (GHz)	Gain (dB)/ efficiency (%)	Isolation (dB)	ECC/DG (dB)	CCL (bit/s/ Hz)
[12]	35×11×1.6	3	14	26-40	>5 / >70	>20	<0.01 / >9.9	NA
[18]	19.04×15.06×0.254	2	0.8	24 GHz-band	>4/>70	>30	<0.24 / >9.6	NA
[20]	14×26×0.38	2	2.55	26.65-29.2	>0.5/>76	>20	<0.01 / >9.99	< 0.4
[21]	26×11 mm <sup>2</sup>	2	4	25-29	>3.5/>97	>20	<0.001/>9.99	NA
[22]	33×27.5×0.76	1 (2 ports)	0.4	28 GHz-band	6.9 / 87	>17	<0.2/>9.7	NA
[23]	55×110×0.5	2	1.06	27.6–28.66	(at 28 GHz) 7.8 / 89 (at 28 GHz)	>29	<0.001/ NA	NA
[24]	11×21.7×0.254	2	2.29	25.5-27.79	5 / >90	>30	<0.122/>9.91	< 0.5
[26]	27.65×12×0.203	2	1.9	27.5-29.4	>5 / NA	>20	<0.002 / >9.99	NA
[27]	20×20×0.254	2	0.6	27.9-28.5	4.5 / NA	>30	<0.055/>9.91	NA
[28]	120×60×0.5	2	0.5	27.8-28.3	8.2 / >85	>25	<0.014 / >9.99	NA
This	11×6×0.8	2	4	26-30	>5 / >98	>26	<0.001 / >9.99	< 0.4
work								

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#### 5. CONCLUSION

The purpose of this paper was to investigate the feasibility of constructing a MIMO antenna that is compact, uncomplicated, and of a quite-small size. The MIMO antenna performed exceptionally well, exhibiting desirable radiation characteristics as well as a broad operating band at 28 GHz. A high level of isolation is maintained by the MIMO elements, which is another factor that contributes to the system's high diversity performance. The overall results were compared to other works that were already published, which confirmed that the proposed MIMO antenna design is suitable for use in 5G millimeter wave applications.

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